

FISH & RICHARDSON P.C.

Frederick P. Fish
1855-1930

W.K. Richardson
1859-1951

March 23, 2001

225 Franklin Street
Boston, Massachusetts
02110-2804

Telephone
617 542-5070

Facsimile
617 542-8906

Web Site
www.fr.com

Attorney Docket No.: 11657-003002

Box Patent Application
Commissioner for Patents
Washington, DC 20231



Presented for filing is a new continuation patent application of:

Applicant: Gary L. Gastineau and Clifford Weber

Title: HEDGING EXCHANGE TRADED MUTUAL FUNDS OR OTHER
PORTFOLIO BASKET PRODUCTS

The prior application is assigned of record to The American Stock Exchange, LLC,
a Delaware corporation, by virtue of an assignment submitted to the Patent and
Trademark Office and recorded on March 27, 2000 at 010662/0177.

Enclosed are the following papers, including those required to receive a filing date
under 37 CFR §1.53(b):

	<u>Pages</u>
Specification	23
Claims	5
Abstract	1
Declaration	2 (from prior application)
Drawing(s)	7

Enclosures:

- Preliminary amendment, 7 pages.
- Postcard.

CERTIFICATE OF MAILING BY EXPRESS MAIL

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I hereby certify under 37 CFR §1.10 that this correspondence is being
deposited with the United States Postal Service as Express Mail Post
Office to Addressee with sufficient postage on the date indicated below
and is addressed to the Commissioner for Patents, Washington,
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Date of Deposit March 23, 2001

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Samantha Bell
Samantha Bell

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FISH & RICHARDSON P.C.

Commissioner for Patents

March 23, 2001

Page 2

This application is a continuation (and claims the benefit of priority under 35 USC 120) of U.S. application serial no. 09/536,258, filed March 27, 2000. The disclosure of the prior application is considered part of (and is incorporated by reference in) the disclosure of this application.

Basic filing fee	\$710
Total claims in excess of 20 times \$18	\$144
Independent claims in excess of 3 times \$80	\$80
Fee for multiple dependent claims	\$0
Total filing fee:	\$934

A check for the filing fee is enclosed. Please apply any other required fees or any credits to deposit account 06-1050, referencing the attorney docket number shown above.

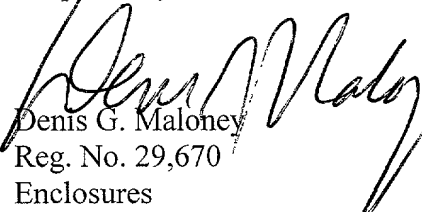
If this application is found to be incomplete, or if a telephone conference would otherwise be helpful, please call the undersigned at (617) 542-5070.

Kindly acknowledge receipt of this application by returning the enclosed postcard.

Please send all correspondence to:

DENIS G. MALONEY
Fish & Richardson P.C.
225 Franklin Street
Boston, MA 02110-2804

Respectfully submitted,


Denis G. Maloney
Reg. No. 29,670
Enclosures
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